PTO/SB/08a (08-03.)

Approved for use through 07/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10628527	
	Filing Date		2003-07-27	
	First Named Inventor	Sober	ranis, David	
	Art Unit		1761	
	Examiner Name	Alexander, Reginald		
	Attorney Docket Number		11669	

				U.S.	PATENTS			Remove	
Examiner Initial*	Cite N o	Patent Number	Kind Code ¹	Issue Date	Name of Pat of cited Docu	entee or Applicant ument	Releva	Columns,Lines where int Passages or Relev s Appear	
	1								
If you wisl	h to a	│ dd additional U.S. Pate	nt citatio	l n information p	l lease click the	Add button.	<u> </u>	Add	
			U.S.P	ATENT APPLI	CATION PUB	LICATIONS		Remove	
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document		Releva	Columns,Lines where int Passages or Relev s Appear	
	1							[A.J.]	
If you wis	n to a	dd additional U.S. Pub		<u>'</u>			d button	Add Remove	-
Examiner Initial*	Cite No	Foreign Document Number ³	Country Kind Code ² j Code ⁴		Publication	Name of Patentee or Applicant of cited		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	Т5
	1								
If you wisi	h to a	dd additional Foreign F					button	Add	
		I		I-PATENT LITE				Remove	
Examiner Initials*	Cite N o	Include name of the a (book, magazine, jou publisher, city and/or	rnal, seri	al, symposiu <mark>m</mark> ,	catalog, etc),				T 5

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		10628527		
Filing Date		2003-07-27		
First Named Inventor	Sober	Soberanis, David		
Art Unit		1761		
Examiner Name	Alexa	nder, Reginald		
Attorney Docket Number		11669		

	1	PCT International Search Report for PCT/US2004/024099, related application.				
If you wis	h to a	dd additional non-patent literature doc	ument citation information please click the Add button	Add		
		E	XAMINER SIGNATURE			
Examiner	Signa	ture	Date Considered			
			or not citation is in conformance with MPEP 609. Draw lude copy of this form with next communication to app	•		
1 See Kind (Codes o	f LISPTO Patent Documents at www.LISPTO G	OV or MPEP 901.04. 2 Enter office that issued the document, by the	e two-letter code (WIPO		

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here in English language translation is attached.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

	10628527		
	2003-07-27		
Sobe	Soberanis, David		
	1761		
Alexa	Alexander, Reginald		
er	11669		

		CERTIFICATION	STATEMENT		_
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selection	on(s):		
	from a foreign p	of information contained in the information of atent office in a counterpart foreign applicationsure statement. See 37 CFR 1.97(e)(1).		•	
OR	1				
	foreign patent of after making rea any individual de	information contained in the information di ffice in a counterpart foreign application, an sonable inquiry, no item of information conta esignated in 37 CFR 1.56(c) more than thr 37 CFR 1.97(e)(2).	d, to the knowledge of the ained in the information dis	person signing the certification closure statement was known to	
	See attached cer	rtification statement.			
×	Fee set forth in 3	7 CFR 1.17 (p) has been submitted herewith	l.		
	None				
	ignature of the ap of the signature.	SIGNAT plicant or representative is required in accord		3. Please see CFR 1.4(d) for the	
Signature		/Gary Hoenig/	Date (YYYY-MM-DD)	2006-12-22	_

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO:** Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration Number

46776

Name/Print

Gary Hoenig

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
 court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
 negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records
 may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
 to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
 - A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.